

Export LC Amendment User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Advice User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Amendment

Export LC Amendment process enables the user to make an amendment to the LC which had been already advised.

The amendments may need consent from the beneficiary of the LC. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

In the following sections, let's look at the details for Export LC amendment process.

This section contains the following topics:

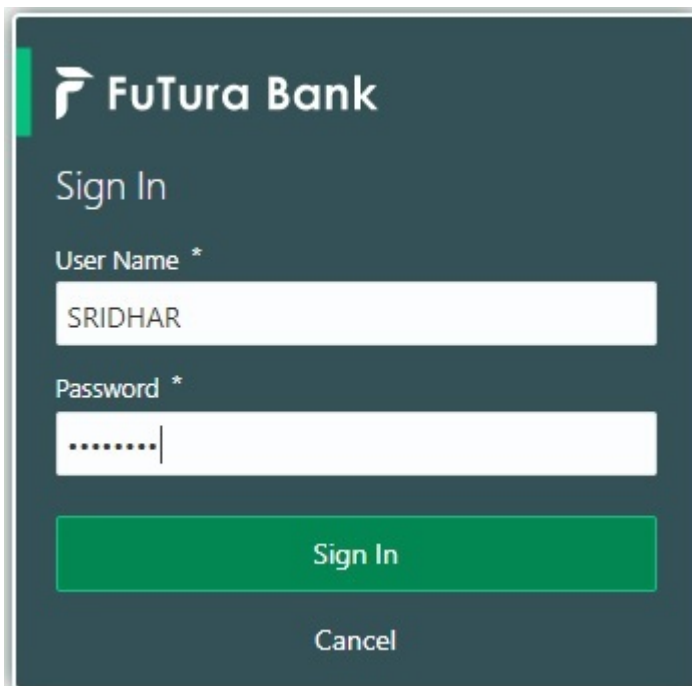
Registration	Scrutiny
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Registration

If the Letter Of Credit amendment request is submitted through branch, the Export LC amendment process starts from the Registration Stage.

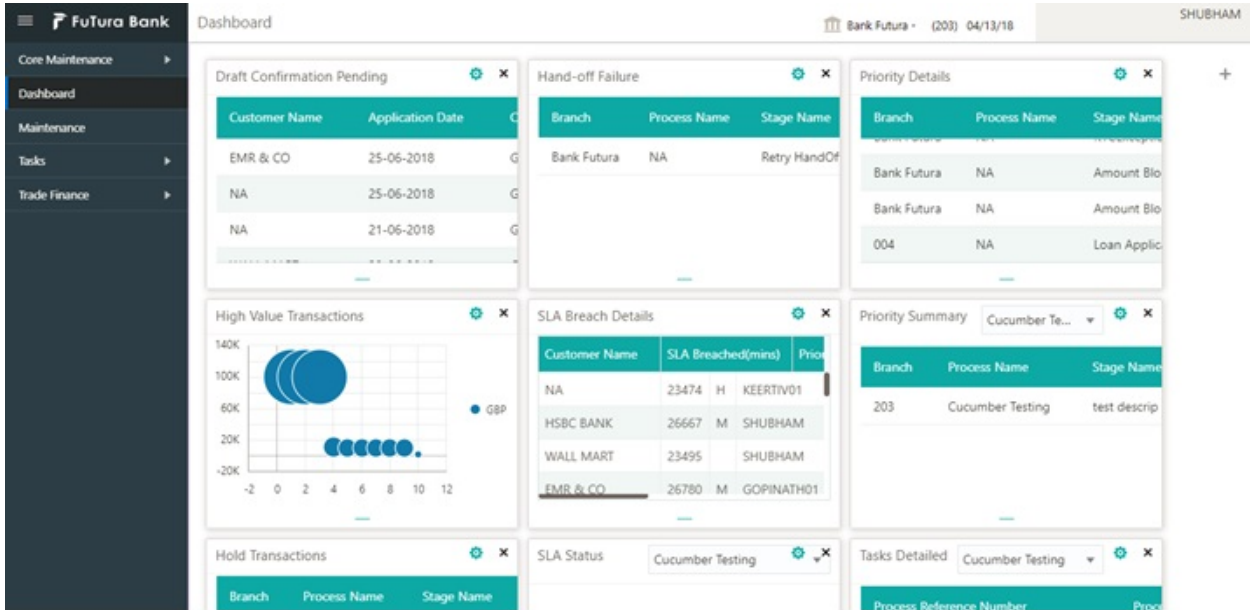
During Registration stage, user can capture the basic details of the application and upload the related documents of the applicant. On submit of the request, the request will be available for an LC Amendment expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

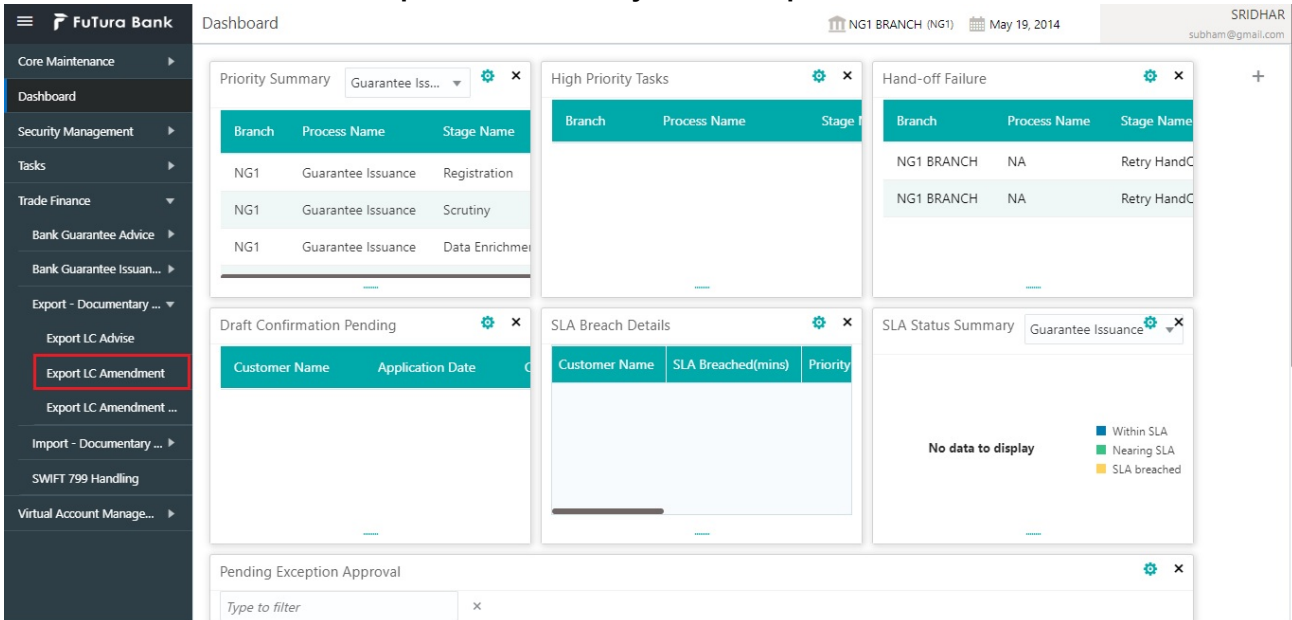


The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the bank's logo and name. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. At the bottom, there are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Amendment.



The Registration stage has two sections Application Details and LC Amendment Details. Let's look at the details of Registration screens below:

Application Details

FuTura Bank Free Tasks
FBN UK (GS1) Feb 1, 2019
SRIDHAR01
subham@gmail.com

Documents
Remarks

Application Details

Internal Amendment	Advising Bank Reference *	Beneficiary ID	Branch
<input type="checkbox"/>	GS1ELAC190322003	000262 EMR & CO	GS1-FBN UK
Amendment No	Process Reference Number	Priority	Submission Mode
1	GS1ELCA000006302	Medium	Desk
Amendment Date	Issuing Bank	Non Bank Issuer	Cancel LC
Feb 1, 2019	000267 DEUTSCHE BAN		<input type="checkbox"/>
20 - Documentary Credit Number			
1234			

View LC


LC Amendmen Details

LC Type	Product Code	Product Description	Advising Bank
Sight	ELAC	Export LC -Confirm with ATB	
40A - Form Of Documentary Credit	Contract Reference Number	31C - Date Of Issue	40E - Applicable Rules
REVOCABLE	GS1ELAC190322003	Feb 1, 2019	UCPURR LATEST VERSION
31D - Date Of Expiry	31D - Place Of Expiry	51A - Applicant Bank	50 - Applicant
May 31, 2019	Negotiating bank		000264 Marks and Spen
Beneficiary Name	32B - Currency Code, Amount	39A - Percentage Credit Amount Tolerance	39C - Additional Amounts Covered
000262 EMR & CO	GBP £15,000.00	10 / 10	

Hold
Cancel
Save & Close
Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV. In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.	
Beneficiary ID	Read only field. Beneficiary ID will be auto-populated based on the selected Export LC from the LOV.	001344
Beneficiary Name	Read only field. Beneficiary Name will be auto-populated based on the selected Export LC from the LOV.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment Number	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	

Field	Description	Sample Values
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority. Desk- Request received through Desk Courier- Request received through Courier	Desk
Amendment Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected Export LC from the LOV.	
Cancel LC	Set the toggle as 'Yes', if Export LC amendment requires cancellation. Subsequent field will be read only and beneficiary consent is required for LC if toggle is set to Yes.	
Documentary Credit Number	Read only field. This field displays the Documentary Credit Number of the selected LC.	

LC Amendment Details

Details in this screen displays the data from the LC issued.

FuTura Bank Free Tasks
FBN UK (GS1) Feb 1, 2019
SRIDHAR01
subham@gmail.com

Documents Remarks

Export LC Amendment View LC

Application Details

Internal Amendment	Advising Bank Reference *	Beneficiary ID	Branch
<input type="checkbox"/>	GS1ELAC190322003	000262 EMR & CO	GS1-FBN UK
Amendment No	Process Reference Number	Priority	Submission Mode
1	GS1ELCA000006302	Medium	Desk
Amendment Date	Issuing Bank	Non Bank Issuer	Cancel LC
Feb 1, 2019	000267 DEUTSCHE BAN		<input type="radio"/>
20 - Documentary Credit Number			
1234			

LC Amendmen Details

LC Type	Product Code	Product Description	Advising Bank
Sight	ELAC	Export LC -Confirm with ATB	
40A - Form Of Documentary Credit	Contract Reference Number	31C - Date Of Issue	40E - Applicable Rules
REVOCABLE	GS1ELAC190322003	Feb 1, 2019	UCPURR LATEST VERSION
31D - Date Of Expiry	31D - Place Of Expiry	51A - Applicant Bank	50 - Applicant
May 31, 2019	Negotiating bank		000264 Marks and Spen
Beneficiary Name	32B - Currency Code, Amount	39A - Percentage Credit Amount Tolerance	39C- Additional Amounts Covered
000262 EMR & CO	GBP £15,000.00	10 / 10	

Hold Cancel Save & Close Submit

Provide the LC Amendment Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected Export LC.	
Product Code	Read only field. This field displays the product code of the selected Export LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected Export LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected Export LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected Export LC.	

Field	Description	Sample Values
Date Of Expiry	This field displays the expiry date of the selected Export LC.	
Place of Expiry	This field displays the place of expiry of the selected Export LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.	
Currency/Amount	This field displays the value of Export LC along with the currency details of the selected Export LC and user can amend if required.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC and user can amend if required.	
Additional Amount Covered	This field displays the details of additional amount covered of the selected Export LC and user can amend if required.	

Miscellaneous

FuTura Bank Free Tasks
FBN UK (GS1) Feb 1, 2019 SRIDHAR01

Documents
Remarks

Export LC Amendment

Application Details

Internal Amendment	Advising Bank Reference *	Beneficiary ID	Branch
<input type="checkbox"/>	<input type="text" value="GS1ELAC190322003"/>	<input type="text" value="000262"/> EMR & CO	<input type="text" value="GS1-FBN UK"/>
Amendment No	Process Reference Number	Priority	Submission Mode
<input type="text" value="1"/>	<input type="text" value="GS1ELCA000006302"/>	<input type="text" value="Medium"/>	<input type="text" value="Desk"/>
Amendment Date	Issuing Bank	Non Bank Issuer	Cancel LC
<input type="text" value="Feb 1, 2019"/>	<input type="text" value="000267"/> DEUTSCHE BAN	<input type="text"/>	<input type="checkbox"/>
20 - Documentary Credit Number			
<input type="text" value="1234"/>			

View LC

LC Amendmen Details

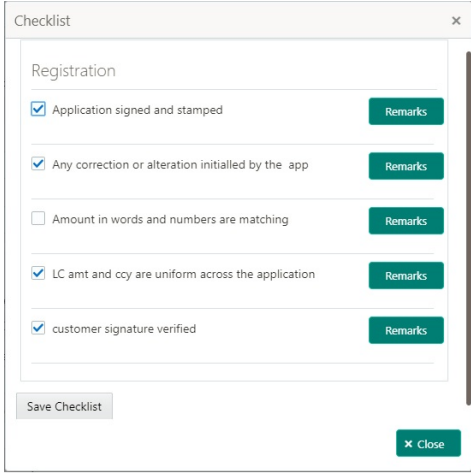
LC Type	Product Code	Product Description	Advising Bank
<input type="text" value="Sight"/>	<input type="text" value="ELAC"/>	<input type="text" value="Export LC -Confirm with ATB"/>	<input type="text"/>
40A - Form Of Documentary Credit	Contract Reference Number	31C - Date Of Issue	40E - Applicable Rules
<input type="text" value="REVOCABLE"/>	<input type="text" value="GS1ELAC190322003"/>	<input type="text" value="Feb 1, 2019"/>	<input type="text" value="UCPURR_LATEST_VERSION"/>
31D - Date Of Expiry	31D - Place Of Expiry	51A - Applicant Bank	50 - Applicant
<input type="text" value="May 31, 2019"/>	<input type="text" value="Negotiating bank"/>	<input type="text"/>	<input type="text" value="000264"/> Marks and Spen
Beneficiary Name	32B - Currency Code, Amount	39A - Percentage Credit Amount Tolerance	39C - Additional Amounts Covered
<input type="text" value="000262"/> EMR & CO	<input type="text" value="GBP"/> £15,000.00	<input type="text" value="10 / 10"/>	<input type="text"/>

Hold
Cancel
Save & Close
Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the amendment request. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	

Action Buttons		
Submit	On submit, task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Amendment Registration stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

Scrutiny

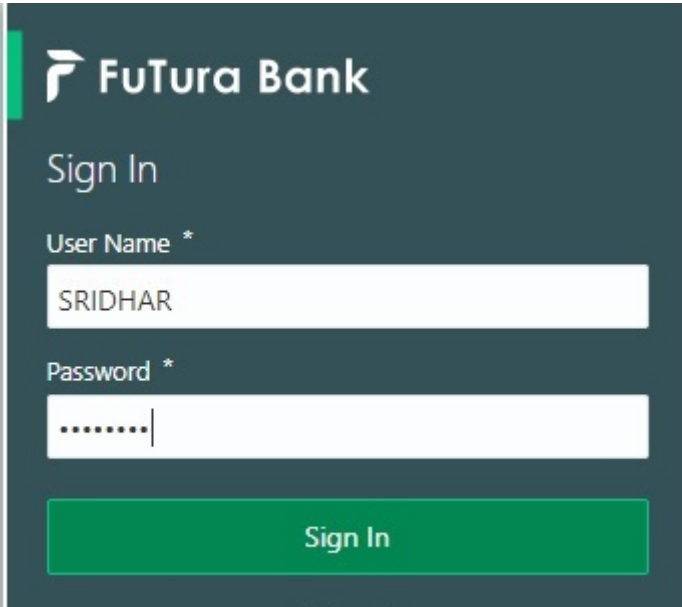
On successful completion of Registration of an Export LC Amendment request, the request moves to Scrutiny stage. At this stage the gathered information during Registration are scrutinized.

Non-Online Channel - Export LC Amendment request that were received at the desk will move to Scrutiny stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via like SWIFT are available directly for further processing from Scrutiny stage and available data for all data segments from Application stage to Data Enrichment stage would be auto populated.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

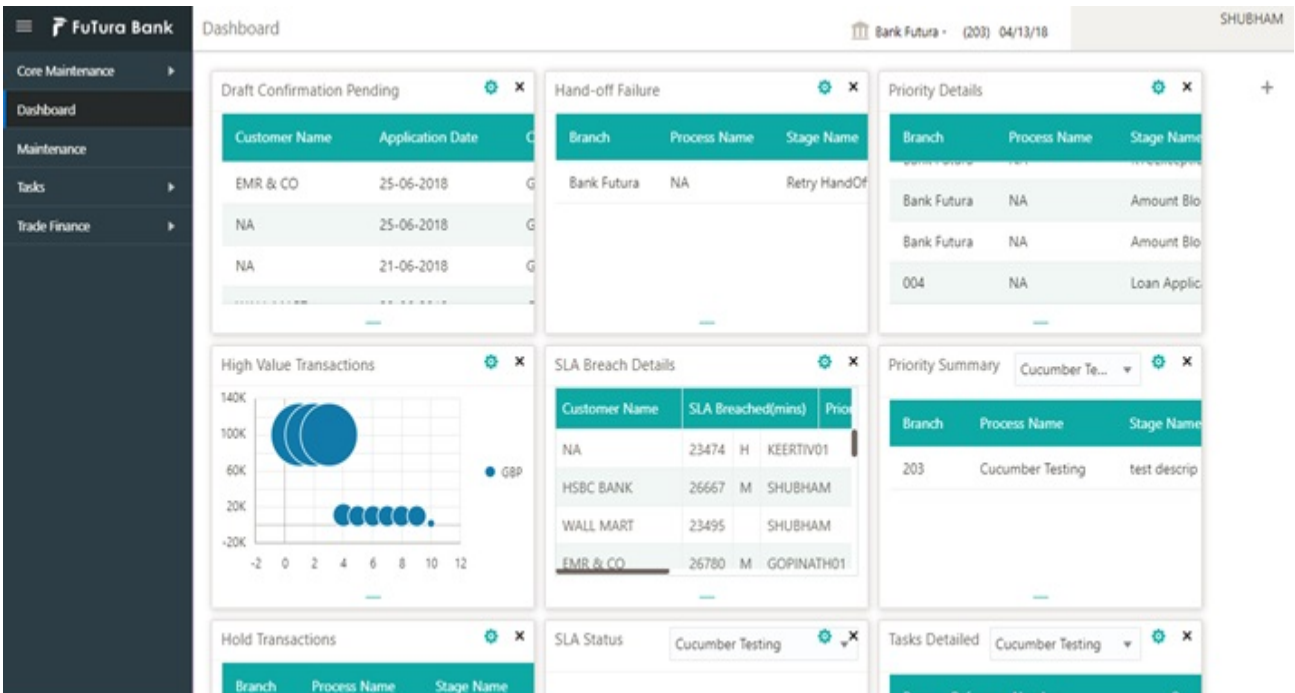
Sign In

User Name *
SRIDHAR

Password *
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard Bank Futura · (203) 04/13/18 SHUBHAM

Core Maintenance | **Dashboard** | Maintenance | Tasks | Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

● GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Priority	Stage Name
NA	23474	H	KEERTIV01
HSBC BANK	26667	M	SHUBHAM
WALL MART	23495	M	SHUBHAM
EMR & CO	26780	M	GOPINATH01

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

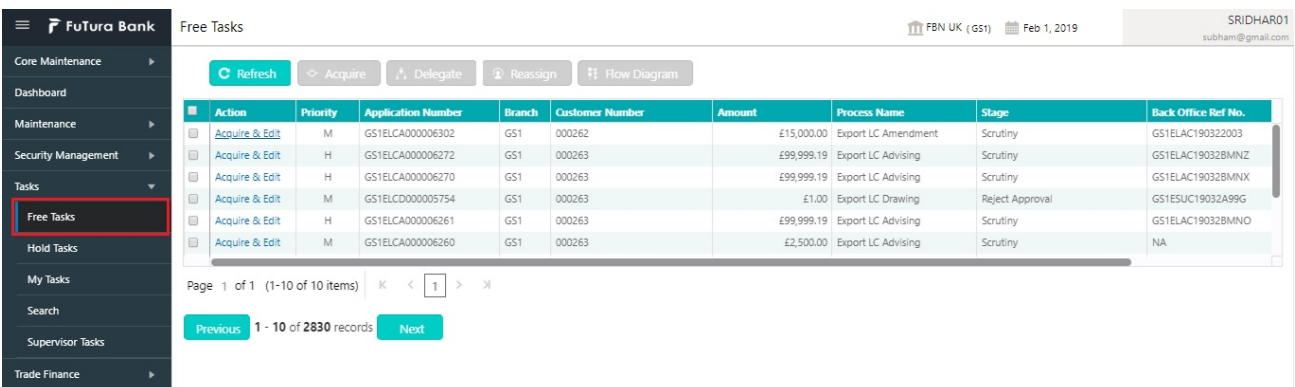
Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status Cucumber Testing

Tasks Detailed Cucumber Testing

3. Click **Trade Finance > Tasks > Free Tasks**.



FuTura Bank Free Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Core Maintenance | Dashboard | Maintenance | Security Management | **Tasks** | Hold Tasks | My Tasks | Search | Supervisor Tasks | Trade Finance

Free Tasks

Refresh | Acquire | Delegate | Reassign | Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNO
Acquire & Edit	M	GS1ELCA000006260	GS1	000263	£2,500.00	Export LC Advising	Scrutiny	NA

Page 1 of 1 (1-10 of 10 items) | Previous | 1 - 10 of 2830 records | Next

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328BMNX
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328BMNO
Acquire & Edit	M	GS1ELCA000006260	GS1	000263	£2,500.00	Export LC Advising	Scrutiny	NA

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2830 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Edit	M	GS1ELCA000006267	GS1	000263	£22,000.00	Export LC Advising	Registration	NA
Edit	M	GS1ILCU000006250	GS1	000262	£10,000.00	Import LC Update Drawings	Scrutiny	NA

Page 1 of 1 (1-3 of 3 items) Previous 1 - 3 of 3 records Next

The Scrutiny stage has six sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following sections. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application
- Application Details
- LC Amendment Details

Application

This section provides a quick snapshot of details of LC. This Application section will be available in all the sections of Scrutiny stage and the fields will be read only. This section is collapsible.

Main Details

Application :- NG1ELCA000003568

Priority	Branch	Applicant	Beneficiary
Medium	NG1 - NG1 BRANCH	000132 - NESTLE	000128 - EMR & CO
Amount	Issue Date	Expiry Date	Advising/Issuing Bank
£200,000.00	May 19, 2014	Aug 17, 2014	

Application Details

Advising Bank Reference *	Beneficiary ID	Beneficiary Name	Branch
NG1ELAC141398043	000128	EMR & CO	NG1-NG1 BRANCH
Amendment No	Process Reference Number	Priority	Submission Mode
1	NG1ELCA000003568	Medium	Desk
Amendment Date	Issuing Bank	Non Bank Issuer	Cancel LC
May 19, 2014	000145 HSBC BANK		<input type="radio"/>
20 - Documentary Credit Number	Beneficiary Consent		
	<input type="radio"/>		

Buttons: Hold, Cancel, Save & Close, Back, Next

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and **Cancel LC**. Refer to [Application Details](#) for more information of the fields.

Application Details

Advising Bank Reference *	Beneficiary ID	Beneficiary Name	Branch
NG1ELAC141398043	000128	EMR & CO	NG1-NG1 BRANCH
Amendment No	Process Reference Number	Priority	Submission Mode
1	NG1ELCA000003568	Medium	Desk
Amendment Date	Issuing Bank	Non Bank Issuer	Cancel LC
May 19, 2014	000145 HSBC BANK		<input type="radio"/>
20 - Documentary Credit Number	Beneficiary Consent		
	<input type="radio"/>		

LC Amendmen Details

LC Type	Product Code	Product Description	Advising Bank
Sight	ELAC	Export LC Sight Non Revolving	
40A - Form Of Documentary Credit	Contract Reference Number	31C - Date Of Issue	40E - Applicable Rules
REVOCABLE	NG1ELAC141398043	May 19, 2014	UCPURR LATEST VERSION
31D - Date Of Expiry	31D - Place Of Expiry	51A - Applicant Bank	50 - Applicant
Aug 17, 2014	LON		000132 NESTLE
Beneficiary Name	32B - Currency Code, Amount	39A - Percentage Credit Amount Tolerance	22A - Purpose of Message
000128 EMR & CO	GBP £200,000.00	0 / 0	
39C - Additional Amounts Covered			

Buttons: Hold, Cancel, Save & Close, Back, Next

LC Amendment Details

The fields listed under this section are same as the fields listed under the [LC Amendment Details](#) section in [Registration](#). Refer to [LC Amendment Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Following are the fields which can be amended apart from the fields carried over from [LC Amendment Details](#) of [Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected Export LC and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	
Currency/Amount	This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC.	

Field	Description	Sample Values
Purpose of message	<p>Advice and Confirmation of the Credit Amendment - Select this option, if LC requires confirmation on the amendment.</p> <p>Advice of the Credit Amendment - Select this option, if LC does not require confirmation on the amendment.</p>	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Export LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	Click Next to move to next logical step in Scrutiny stage.	

Availability & Shipment

User must verify/ Input/Update Availability, Shipment and Goods details of an Export LC request for the different fields under the respective data segments.

Application

Refer to [Application](#).

Availability Details

FuTura Bank Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen (2 / 6)

Main Details **Availability & Shipment** Payment Details Amendment Details Additional Details Summary

Application :- NG1ELCA000003568

Availability Details
 41a-Available with * 41a-Available By * 42C-Drafts At 42a-Drawee

Tenor

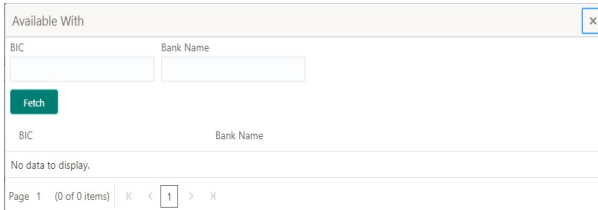
Shipment Details
 43P-Partial Shipments 43T-Transshipment 44A-Place of Taking in Charge 44E-Port of Loading


44F-Port of Discharge 44B-Place of Final Destination 44C-Latest Date of Shipment 44D-Shipment Period

45A Description of Goods and or Services
 INCO Terms

Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). 	

Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> ● BY ACCEPTANCE ● BY DEF PAYMENT ● BY MIXED PAYMENT ● BY NEGOTIATION ● BY PAYMENT <p>Validation:</p> <p>1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If By deferred payment is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If By payment is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	<p>This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.</p>	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> ● Search the bank with SWIFT code (BIC) or Bank Name. <div data-bbox="568 1323 1166 1525" style="border: 1px solid #ccc; padding: 5px;"> <p>Available With ✕</p> <p>BIC <input type="text"/> Bank Name <input type="text"/></p> <p>Fetch</p> <p>BIC <input type="text"/> Bank Name <input type="text"/></p> <p>No data to display.</p> <p>Page 1 (0 of 0 items) ⏪ < 1 > ⏩</p> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div style="text-align: center;">  <p>Note</p> <p>This field is mandatory if value is provided at Drafts At field.</p> </div>	
Tenor	<p>This field displays the value of Tenor as per the issued LC and can be modified if required.</p>	

Shipment Details

Futura Bank Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen (2 / 6)

Main Details
Availability & Shipment
Payment Details
Amendment Details
Additional Details
Summary

Application :- NG1ELCA000003568

Availability Details
 41a-Available with * 41a-Available By * 42C-Drafts At 42a-Drawee




Shipment Details
 43P-Partial Shipments 43T-Transshipment 44A-Place of Taking in Charge 44E-Port of Loading
 44F-Port of Discharge 44B-Place of Final Destination 44C-Latest Date of Shipment 44D-Shipment Period




45A Description of Goods and or Services
 INCO Terms

Hold Cancel Save & Close Back Next

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	
Transshipment	<p>This field displays the value of Transshipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	

Field	Description	Sample Values
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the LC and can be modified if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p>  <p>Note</p> <p>This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Loading	<p>This field displays the value of port of loading as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p>Note</p> <p>This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p>Note</p> <p>This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the LC and can be modified if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p>  <p>Note This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the LC and can be modified if required.</p>  <p>Note This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	
Shipment Period	<p>This field displays the value of shipment period as per the LC and can be modified if required.</p>  <p>Note This field is alternate to Latest Date Of Shipment.</p> <p>Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

Description Of Goods And Or Services

Export LC Amendment - Scrutiny

Availability & Shipment

Tenor

Shipment Details

43P-Partial Shipments: NOT ALLOWED

43T-Transshipment: NOT ALLOWED

44A-Place of Taking in Charge: []

44E-Port of Loading: LONDON

44F-Port of Discharge: CHENNAI

44B-Place of Final Destination: []

44C-Latest Date of Shipment: May 20, 2014

44D-Shipment Period: []

45A Description of Goods and or Services

INCO Terms: CIP - Carriage and Insurance Paid

Goods Code	Goods Type	Goods Description	Actions
<input type="checkbox"/>	COTTON	Allowed Freely	<input type="checkbox"/>

Hold Cancel Save & Close Back Next

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code. Once you select goods code, value will populate in Goods Type and Goods Description.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	On successful submission, task moves to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC amendment Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>Click Next to move to next logical step in Scrutiny stage.</p>	

Payment Details




Application




Refer to [Application](#).

Payment Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Spl Paymt Condn - Beneficiary	This field displays the value of Spl Paymt Condn - Beneficiary as per the LC and can be modified if required. If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Spl Paymt Condn - Rec Bank	This field displays the value of Spl Paymt Condn - Rec Bank as per the LC and can be modified if required. If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.	
Period for Presentation	This field displays the value of Period for Presentation as per the LC and can be modified if required. If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.	

Field	Description	Sample Values
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the LC and can be modified if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <p>a) SWIFT code (if available),</p> <p>b) Name and address of the bank</p> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Partial Confirmation Allowed	<p>Toggle On: Set the toggle 'On' to enable partial confirmation.</p> <p>Toggle Off: Set the toggle 'Off' to disable partial confirmation.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm.</p>	
Confirmation%	<p>Provide the confirmation percentage.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm and Partial Confirmation Toggle is 'On'.</p> <p> Note This field is alternate to 'Confirmation Amount'.</p>	

Field	Description	Sample Values
Confirmation Amount	<p>Provide the confirmation percentage.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm and Partial Confirmation Toggle is 'On'.</p> <p> Note This field is alternate to 'Confirmation Amount'.</p>	
To be Confirmed	<p>Toggle On: Set the toggle on to confirm by advising bank.</p> <p>Toggle Off: Set the toggle off for not to be confirmed by advising bank.</p>	
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be modified if required.</p> <p> Note This field is applicable only for LC Type - Confirmed LC.</p>	
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the LC and can be modified if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available), • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	

Field	Description	Sample Values
Instructions to P/A/N Bank	This field displays the value of Instructions to P/A/N Bank as per the LC and can be modified if required.	
Sender to Receiver Information	This field displays the value of Sender to Receiver Information (FFT Details) as per the LC and can be modified, if required.	
Charges	This field displays the charges and can be modified, if required.	
Amendment Charges	Select the party to pay the amendment charges: <ul style="list-style-type: none"> • Applicant • Beneficiary • Others 	

Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	
Narrative	This field displays the additional information from the advising bank to the issuing bank and can be modified, if required.	
Issuing Bank Account Number	This field displays the issuing bank account number from the LOV and can be modified, if required.	
Charges to be Claimed	This field displays the FFT from the LOV for the charges to be claimed and can be modified, if required.	
Charges	This field displays the charge details for advising and can be modified, if required.	
Issuing Bank Date	This field displays the issuing bank date and can be modified, if required.	
Account with Bank	This field displays the account to which the charges needs to be paid and can be modified, if required.	

Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	

Field	Description	Sample Values
Narrative	This field displays the additional information from the advising bank to the issuing bank and can be modified, if required.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Amendment Details

This section lists the amendments made to the LC. The amendment details table displays the original value and the amended values of the amended fields in two different columns to enable Scrutiny user to identify the modifications made to the LC.

Export LC Amendment - Scrutiny :: Application No: GS1ELCA000006302

Amendment Details

Screen (4 / 6)

LC Amendment Details

Field Name	Amended Value	Value as per LC
49 - Confirmation Instructions	CONFIRM	WITHOUT
48 - Period for Presentation	21	

Party Details

Party Type	Party ID	Customer Name	Customer Ref No	Address1	Address2	Country	Status
No data to display.							

Goods Details

Goods Code	Goods Type	Goods Description	Status
No data to display.			

Audit

Reject Hold Cancel Save & Close Back Next

Additional Fields

Banks can configure these additional fields during implementation.

Export LC Amendment - Scrutiny :: Application No: GS1ELCA000006302

Additional Fields

Screen (4 / 6)

Additional Fields

No Additional fields configured!

Audit

Reject Hold Cancel Save & Close Back Next

Additional Details

FuTura Bank Free Tasks NG1 BRANCH (NG1) May 19, 2014 SRIDHAR subham@gmail.com

Export LC Amendment - Scrutiny Documents Remarks View LC Screen (5 / 6)

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details**
- Summary

Application :- NG1ELCA000003568

Limit & Collateral

Limit Currency : **GBP**
 Limit Contribution : **100000**
 Limit Status : **Not Verified**
 Collateral Currency : **GBP**
 Collateral Contribution : **20000**
 Collateral Status : **Available**

Charge Details

Charge : **GBP 100**
 Commission :
 Tax :
 Block Status : **Not Initiated**

Hold Cancel Save & Close Back Next

Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both and the LC is confirmed/ to be confirmed with the amended values. Provide the Limit Details based on the description in the following table:

Limit & Collateral							
Limit Details							
Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa
Collateral Details							
Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perfo

Save & Close Cancel

Limit Details
✕

Customer ID
001345

Contribution % *
100

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available




Line ID *
001345

Limits Description

Contribution Amount *

Limit Available Amount

Response Message
The Earmark can be performed as the f

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Limit Details.	
Plus Icon 	Click plus icon to add new Limit Details.	
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Issuing Bank/ Confirming bank.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution% is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

The screenshot shows a software window titled "Limit & Collateral" with a close button (X) in the top right corner. It contains two main sections: "Limit Details" and "Collateral Details".

Limit Details: This section has a table with columns: Customer ID, Line ID, Contribution %, Contribution Currency, Contribution Amount, Limit Check Response, and Response Message. A single row is visible with values: 001345, 001345, 100, GBP, £20,000.00, Available, and "The Earmark can be performed as the fa...". There are add (+) and remove (-) buttons to the right of the table header.

Collateral Details: This section has a table with columns: Collateral Type, Collateral %, Currency, Contribution Amount, Settlement Account, Account Balance Check Response, and Response Message. A single row is visible with values: Cash Collateral, 10, GBP, £2,000.00, 2030013450000000010, Available, and "The amount block can be perf...". There are add (+) and remove (-) buttons to the right of the table header.

At the bottom right of the window, there are "Save & Close" and "Cancel" buttons.

Collateral Details
✕

Collateral Type *

Currency

Settlement Account *

Settlement Account Currency

Response




Collateral % *

Contribution Amount *

Settlement Account Branch

Account Available Amount

Response Message

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral%	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	

Field	Description	Sample Values
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details >

Recalculate
Redefault

▲ Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 <input type="text"/>
LCSWIFTIS	GBP	£50.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 <input type="text"/>
OTHBNKCHG	GBP	£50.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 <input type="text"/>

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive

✓ Save & Close
✗ Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Action Buttons

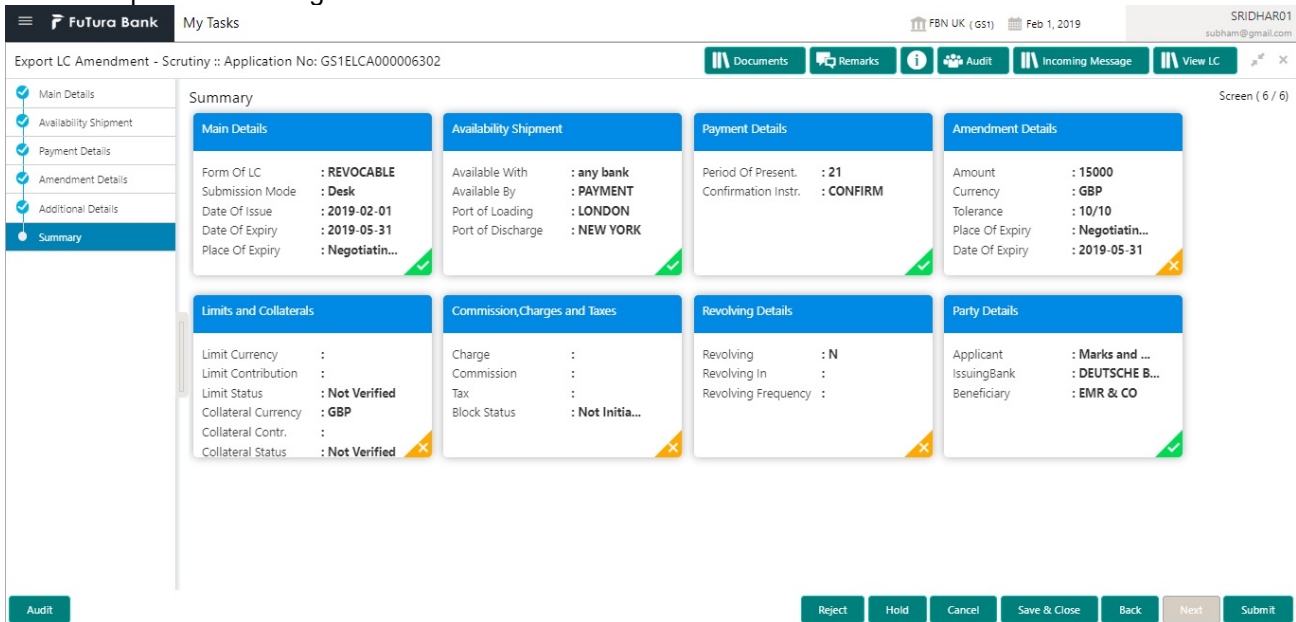
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical step in Scrutiny stage.	

Summary

User can review the summary of details updated in Scrutiny Export LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.



Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of LC.
- Additional Fields - User can view the additional fields of LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Back	Task moves to the previous screen.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	

Field	Description	Sample Values
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Data Enrichment

As part of Data Enrichment, user can enter/update basic details of the incoming request.

Do the following steps to acquire a task at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for user monitoring:

- Draft Confirmation Pending:** Table with columns: Customer Name, Application Date, Status.
- Hand-off Failure:** Table with columns: Branch, Process Name, Stage Name.
- Priority Details:** Table with columns: Branch, Process Name, Stage Name.
- High Value Transactions:** A bubble chart showing transaction values for GBP.
- SLA Breach Details:** Table with columns: Customer Name, SLA Breached(mins), Priority.
- Priority Summary:** Table with columns: Branch, Process Name, Stage Name.
- Hold Transactions:** Table with columns: Branch, Process Name, Stage Name.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** A dropdown menu currently set to 'Cucumber Testing'.

3. Click Trade Finance> Tasks> Free Tasks.

The Free Tasks page shows a list of tasks with the following data:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Data Enrichment	GS1ELAC190322003
Acquire & Edit	M	GS1ILCA000006360	GS1	000262	£100,000.00	Import LC Issuance	Data Enrichment	GS1ILSN19032BNST
Acquire & Edit	H	GS1ELCA000006273	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMO1
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	H	GS1ELCA000006269	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNW

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

The screenshot shows the 'Acquire & Edit' button for the first task highlighted in red. The table data is as follows:

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Scrutiny	GS1ELAC190322003
Acquire & Edit	H	GS1ELCA000006272	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNZ
Acquire & Edit	H	GS1ELCA000006270	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNX
Acquire & Edit	M	GS1ELCD000005754	GS1	000263	£1.00	Export LC Drawing	Reject Approval	GS1ESUC19032A99G
Acquire & Edit	H	GS1ELCA000006261	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032BMNO
Acquire & Edit	M	GS1ELCA000006260	GS1	000263	£2,500.00	Export LC Advising	Scrutiny	NA

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCA000006302	GS1	000262	£15,000.00	Export LC Amendment	Data Enrichment	GS1ELAC190322003

Page 1 of 1 (1 of 1 items) K < 1 > X

Previous 1 - 1 of 1 records Next

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability & Shipment
- Documents & Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Availability & Shipment

Refer to [Availability & Shipment](#).

Documents & Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

Application

Refer to [Application](#).

Documents Required

Select	Code	Name	Copy	Original	Description	Action
<input type="checkbox"/>	INSDOC			3/3		
<input type="checkbox"/>	INVDOC			3/3		
<input type="checkbox"/>	MARDOC			3/3		

Documents required section displays the list of documents required as per the issued LC and can be modified if required.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Additional Conditions

Additional Conditions			
Select	FFT Code	FFT Description	Action
<input type="checkbox"/>	57ACWITHBANK		
<input type="checkbox"/>	32BAMTUTIL		
<input type="checkbox"/>	FFTLAC	DISCREPANCY FEE FOR USD 75.- (OR EQUIVALENT IN L/C CURRENCY)PLUS ALL RELATIVE SWIFT CHARGES WILL B	

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Payment Details

Refer to [Payment Details](#).

Amendment Details

Refer to [Amendment Details](#).

Additional Fields

Refer to [Additional Fields](#).

Additional Details

Futura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR01
subham@gmail.com

Export LC Amendment - Data Enrichment :: Application No: GS1ELCA000006302

Documents Remarks Audit Incoming Message View LC

Screen (7 / 8)

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Additional Details**
- Summary

Limit & Collateral

Limit Currency :
 Limit Contribution :
 Limit Status :
 Collateral Currency :
 Collateral Contribution :
 Collateral Status :

Charge Details

Charge :
 Commission :
 Tax :
 Block Status :

Preview

Confirmation Required : **No**
 Customer Response :
 Response Date :

Audit Reject Hold Cancel Save & Close Back Next

Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both. This is applicable for confirmation of the unconfirmed LC or increasing the amount or tolerance if already LC is already confirmed. Provide the Limit Details based on the description in the following table:

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perfo

Save & Close Cancel

Limit Details
✕

Customer ID
001345

Contribution % *
100

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available




Line ID *
001345

Limits Description

Contribution Amount *

Limit Available Amount

Response Message
The Earmark can be performed as the f

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Limit Details.	
Plus Icon 	Click plus icon to add new Limit Details.	
Minus Icon 	Click minus icon to remove any existing Limit Details.	
Limit Details	Customer ID: Issuing bank/ Confirming Bank.	
Line ID	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	

Field	Description	Sample Values
Contribution	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution% is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Limit & Collateral ×

▲ Limit Details 📄 + -

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

▲ Collateral Details 📄 + -

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Collateral Details
✕

Collateral Type *
Cash Collateral ▼

Currency
GBP

Settlement Account *
2030013460000000017 🔍

Settlement Account Currency
GBP

Response
Available

Verify

Collateral % *
20 ▼ ▲




Contribution Amount *
£4,000.00

Settlement Account Branch
203

Account Available Amount
£998,926,760.53

Response Message
The amount block can be performed as

✔ Save & Close
✕ Cancel

Field	Description	Sample Values
Edit Icon 	Click edit icon to edit any existing Collateral Details.	
Plus Icon 	Click plus icon to add new Collateral Details.	
Minus Icon 	Click minus icon to remove any existing Collateral Details.	
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. User can select either Cash Collateral or Deposits.	
Collateral%	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	
Settlement Account	Select the settlement account for then collateral.	

Field	Description	Sample Values
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	Select the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details >

Recalculate
Redefault

▲ Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 🔍
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 🔍
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017 🔍

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive

✔ Save & Close
✖ Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant.	
Settlement Account	Details of the settlement account.	

Provide the Commission Details based on the description provided in the following table:

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILSN_COMM	1.5	GBP	\$1,900.00	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	

Field	Description	Sample Values
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Charge Details

▲ Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

▲ Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	

Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Amendment request.

The screenshot shows the 'Summary' page for an 'Export LC Amendment - Data Enrichment' request. The interface includes a navigation menu on the left, a top header with 'FuTura Bank' and 'My Tasks', and a main content area with a grid of detail tiles. The tiles are as follows:

- Main Details:** Form Of LC: REVOCABLE, Submission Mode: Desk, Date Of Issue: 2019-02-01, Date Of Expiry: 2019-05-31, Place Of Expiry: Negotiatin...
- Availability Shipment:** Available With: any bank, Available By: PAYMENT, Port of Loading: LONDON, Port of Discharge: NEW YORK
- Documents and Conditions:** Document 1: INSDOC, Document 2: INVDOC, Document 3: MARDOC
- Payment Details:** Period Of Present: 21, Confirmation Instr.: CONFIRM
- Amendment Details:** Amount: 15000, Currency: GBP, Tolerance: 10/10, Place Of Expiry: Negotiatin..., Date Of Expiry: 2019-05-31
- Additional Fields:** Click here to view additional fields
- Limits and Collaterals:** Limit Currency, Limit Contribution, Limit Status: Not Verified, Collateral Currency: GBP, Collateral Contr., Collateral Status: Not Verified
- Commission, Charges and Taxes:** Charge, Commission, Tax, Block Status: Not Initia...
- Advice Preview Details:** Language: ENG, Preview Message: -
- Revolving Details:** Revolving: N, Revolving In, Revolving Frequency
- Preview Messages:** Language: ENG, Preview Message: -
- Compliance:** Sanctions: Not Initia..., AML: Not Initia...
- Party Details:** Applicant: Marks and ..., Confirming Bank: DEUTSCHE B..., Beneficiary: EMR & CO

At the bottom of the page, there are action buttons: Reject, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Export LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Application

Refer to [Application](#).

Amount Bock Exception

This section will display the amount block exception details.

Import LC Amendment - Amount Block Exception

Amount Block Exception

Application :- 2031LCISS00000888

Amount Block Exception Details

Type	Contract Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.								

Reject Hold Refer Cancel Approve Back Next

Summary

Import LC Amendment - Amount Block Exception

Application :- 2031LCISS000000888

Field	Value
Form Of LC	IRREVOCABLE
Submission Mode	Desk
Date Of Issue	2018-07-17
Date Of Expiry	2018-08-17
Place Of Expiry	NEGOTIATING BANK

Field	Value
Applicant	XXX
Beneficiary	XXX
Advising Bank	XXX
Confirming Bank	

Field	Value
Limit Currency	GBP
Limit Contribution	15000
Limit Status	Earmarked
Collateral Currency	GBP
Collateral Contribution	3000
Collateral Status	Available

Field	Value
Charge	
Commission	
Tax	
Block Status	Not Initiated

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Amendment Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Application

Refer to [Application](#).

Summary

Summary

Application :- 203ILCISS00000011

Section	Field	Value
Main Details	Form Of LC	IRREVOCABLE
	Submission Mode	Desk
	Date Of Issue	2018-04-13
	Place Of Expiry	ny
Party Details	Applicant	NESTLE
	Beneficiary	EMR & CO
	Advising Bank	BANK OF AMERICA
Limits & Collaterals	Limit Currency	
	Limit Contribution	
	Collateral Currency	
	Collateral Status	Not Verified
Compliance	KYC	Not Verified
	Sanctions	Not Initiated
	AML	Not Initiated

Buttons: Reject, Hold, Refer, Cancel, Approve, Back, Next, Submit

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Amendment KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application](#).

Limit/Credit Check

This section will display the amount block exception details.

Import LC Amendment - Credit Exception - Review

Bank Futura -Br... (203) 04/13/18 SRIDHAR

Credit Exception Summary

Application :- 203ILCAM0017597

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/>	001345	100	GBP	£20,000.00	Available	The Earmark can be performed

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Success	The amount block can

Reject Hold Refer Cancel Approve Back Next

Summary

Import LC Amendment - Credit Exception - Review

Bank Futura -Br... (203) 04/13/18 SRIDHAR

Credit Exception Summary

Application :- 203ILCAM0017597

Main Details

- Form Of LC : **REVOCABLE**
- Submission Mode : **Desk**
- Date Of Issue : **4/13/2018**
- Date Of Expiry : **7/19/2018**
- Place Of Expiry : **London**

Party Details

- Applicant : **XXX**
- Beneficiary : **XXX**
- Advising Bank : **XXX**
- Confirming Bank : **XXX**

Availability & Shipment

- Available With : **YOUR SELVES**
- Available By : **By Payment**
- Port Of Loading :
- Port Of Discharge : **Chennai**

Payments

- Period Of Present. :
- Confirmation Instr. : **CONFIRM**
- Advise Through Bank :

Amendment Details

- Amount : **20000**
- Currency : **GBP**
- Date Of Expiry : **7/19/2018**
- Place Of Expiry : **London**
- Tolerance :

Documents & Condition

- Document 1 : **BOL**
- Document 2 : **MARDOC**
- Document 3 : **INSDOC**

Limits & Collaterals

- Limit Currency : **GBP**
- Limit Contribution : **20000**
- Limit Status : **Available**
- Collateral Currency : **GBP**
- Collateral Contribution : **2000**
- Collateral Status : **Success**

Charge Details

- Charge : **GBP 50**
- Commission :
- Tax :
- Block Status : **Success**

Revolving Details

- Revolving : **No**
- Revolving In :
- Revolving Frequency :

Preview Messages

- Confirm. Required : **Yes**
- Confirm. Response :
- Response Date :

Compliance

- KYC : **Verified**
- Sanctions : **Verified**
- AML : **Verified**

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Export LC Amendment Limit exception check.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Application

Refer to [Application](#).

Summary

The screenshot shows the 'Summary' page of the FuTura Bank application. The page title is 'Export LC Amendment - Approval1 :: Application No: GS1ELCA000006302'. The summary is organized into 12 tiles, each with a title and key-value pairs:

- Main Details:** Form Of LC : REVOCABLE, Submission Mode : Desk, Date Of Issue : 2019-02-01, Date Of Expiry : 2019-05-31, Place Of Expiry : Negotiatin...
- Availability:** Available With : any bank, Available By : P, Port of Loading : LONDON, Port of Discharge : NEW YORK
- Payment:** Period Of Present. : 21, Confirmation Instr. : C
- Documents and Conditions:** Document 1 : INSDOC, Document 2 : INVDOC, Document 3 : MARDOC
- Revolving Details:** Revolving : N, Revolving In : , Revolving Frequency :
- Limits Details:** Limit Currency : , Limit Contribution : , Limit Status : Not Verified, Collateral Currency : GBP, Collateral Contr. : , Collateral Status : Not Verified
- Preview Message:** Language : ENG, Preview Message : -
- Preview Messages:** Language : ENG, Preview Message : -
- Party Details:** Applicant : Marks and ..., Confirming Bank : DEUTSCHE B..., Beneficiary : EMR & CO
- Charge:** Charge : , Commission : , Tax : , Block Status : Not Initia...
- Amendment Details:** Amount : 15000, Currency : GBP, Tolerance : 10/10, Place Of Expiry : Negotiatin..., Date Of Expiry : 2019-05-31
- Compliance:** Sanctions : Verified, AML : Verified

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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